

DEC 1 0 2003

UNITED STATES PATENT AND TRADEMARK OFFICE

12-11-0

Applicant:

Green et al.

Title:

Window Evaporative Cooler

Appl. No.:

10/659,247

Filing Date: 09/10/2003

Examiner:

Art Unit:

CERTIFICATE OF EXPRESS MAILING I hereby certify that this correspondence is being deposited with the United States Postal Service's "Express Mail Post Office To Addressee" service under 37 C.F.R. § 1.10 on the date indicated below and is addressed to: Commissioner for Patents, PO Box 1450, Alexandria, Virginia 22313-1450. EL 979073182 US 12/10/03 (Express Mail Label Number) (Date of Deposit) Lori A. Wilson (Printed Name)

## INFORMATION DISCLOSURE STATEMENT **UNDER 37 CFR §1.56**

Mail Stop PATENT APPLICATION Commissioner for Patents PO Box 1450 Alexandria, Virginia 22313-1450

Sir:

Submitted herewith on Form PTO-1449 is a listing of documents known to Applicants in order to comply with Applicants' duty of disclosure pursuant to 37 CFR §1.56. A copy of each listed document, except as noted below, is being submitted to comply with the provisions of 37 CFR §1.97 and §1.98.

The USPTO has waived the requirement under 37 CFR 1.98(a)(2)(i) to submit copies of U.S. patents and U.S. patent application publications when citing and submitting an Information Disclosure Statements in a patent application filed after June 30, 2003 and in an international application that has entered the national stage under 37 USC §371 after June 30, 2003. Accordingly, copies of these types of documents are not being supplied in connection with this application. Reference is being made to Pre-OG Notice from Office of Patent Legal Administration dated July 25, 2003, Information Disclosure Statements May Be Filed Without Copies of U.S. Patents and Published Applications in Patent Applications filed after June 30, 2003.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* art reference against the claims of the present application.

## **TIMING OF THE DISCLOSURE**

The listed documents are being submitted in compliance with 37 CFR §1.97(b), within three (3) months of the filing date of the application.

## **RELEVANCE OF EACH DOCUMENT**

All of the documents are in English.

Applicants respectfully request that any listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO-1449 be returned in accordance with MPEP §609.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 CFR §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 06-1447. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 06-1447.

Respectfully submitted,

Date 12-10-03

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James A. Wilke Attorney for Applicant Registration No. 34,279

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(MODIFIED)		PATENT AND TR	ADEMARK OFFIC	CE	025636-0	0109	10/659,247		
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EXAMPACIE!	REF	DOCUMENT NUMBER	DATE		NAME	CLASS	SUB- CLASS	FILING DATE IF APPROPRIATE	
	A1	5,042,270	08/27/91	San	cher	62	314	AFFROFRIATE	
	A2	5,015,420	05/14/91	Jon	es	261	029		
7-7-8-1-8-1	A3	4,997,756	12/18/90	Broo	ck	62	314		
	A4	4,833,896	05/30/89	Carl	son	62	304	·	
	A5	4,774,030	09/27/88	Kink	el, et al	261	29		
	A6	4,672,820	06/16/87	Goe	ttl	62	304		
	A7	4,657,709	04/14/87	Goe	ttl	261	3		
	A8	4,649,000	03/10/87	Biesemeyer		261	92		
	A9	4,556,521	12/03/85	Baigas		261	94		
	A10	4,460,394	07/17/84	Wrig	ghtson	55	491		
	A11	4,443,386	04/17/84	Wrig	ghtson	261	29		
-	A12	4,440,000	04/03/84	Bac	chus, et al	62	310		
	A13	4,419,300	12/06/83	Van	Ness	261	106		
	A14	4,338,264	07/06/82	See	ley	261	29		
	A15	4,309,365	01/05/82	Van	Ness, et al	261	29		

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	A21	4,045,523	08/30/77	Goettl	261	97		
- =:	A22	4,026,971	05/31/77	Glasoe	261	29		
	A23	3,820,353	06/28/74	Shiraishi, et al	62	305		
			FOREIG	N PATENT DOCUMENT	S			
	REF	DOCUMENT	DATE	COUNTRY	CLASS	SUB-	TRANSLATION	
	KEF	NUMBER		COOMIN	CLASS	CLASS	YES	NO

Mackay, et al

Peltier

Goettl

Goettl

Sumrow

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

**EXAMINER** 

A16

A17

A18

A19

A20

4,234,526

4,231,975

4,200,599

4,101,609

4,080,410

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DATE CONSIDERED

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\* EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include any copy of this f rm with n xt communication to applicant.

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Form PTO-1449		U.S. DEPARTMENT OF COMMERCE		ATTY. DOCKET NO.		SERIAL NO.		
(MODIFIED)		PATENT AND TRADEMARK OFFICE		025636-0109		10/659,247		
				APPLICANT				
(Use several sheets if necessary)					Green et	al.		
				FILING DATE		GROUP ART UNIT		
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EXAMINER INITIARADE	MREF	DOCUMENT NUMBER	DATE		NAME	CLASS	SUB- CLASS	FILING DATE IF APPROPRIATE
	A24	3,791,102	02/12/74	Hun	tington	55	32	
	A25	3,738,621	06/12/73	Anderson		261	29	
	A26	3,686,830	08/29/72	Huntington		55	233	
	A27	3,211,437	10/12/65	Jay	Э	261	3	
	A28	3,031,173	04/24/62	Koh	l, et al	261	106	
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	A30	1,743,974	01/14/30	Mal	et			
	A31	846,815	Baggaley	03/1	12/1907			
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